EDOCKET NO. 0308-5002/P-US/LEADP102USA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In respatent application of

Applicant:

Michael T. McKibben

Serial No.:

10/732,744

Filed:

December 10, 2003

For:

DYNAMIC ASSOCIATION OF ELECTRONICALLY STORED INFORMATION WITH ITERATIVE

**WORKFLOW CHANGES** 

Art Unit:

2171

Examiner: Unknown

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120(c), or (c) U.S. Patent(s) and published application(s) since the subject application was filed after June 30, 2003:

Serial No.: 10/731,906

Filing Date: December 10, 2003

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - (a) \_\_\_ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - (b) \_\_\_ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
  - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
    - (a) \_\_\_ Within 3 months of the filing date or date of entry into the National Stage.
    - (b) \_\_\_ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.

(c) \_\_\_\_ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
(1) \_\_\_\_ The required certification is given below, or
(2) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.
(d) \_\_\_ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
(1) \_\_\_ Enclosed is a Credit Card Payment Form (PTO-2038) covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

## 4. Certification (if applicable)

- (a) X The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) \_\_\_ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted,

AMIN & TUROCY, LLP

Ву

AMIN & TUROCY, LLP 24<sup>TH</sup> Floor, National City Center

1900 E. 9<sup>th</sup> Street

Cleveland, Ohio 44114

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

CERTIFICATE OF MAILING

Date

Eric D. Jorgenson

Eric D. Jorgenson

Reg. No. 46,002

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO Application Number SUPPLEMENTAL 10/732,744 Filing Date **INFORMATION DISCLOSURE** December 10, 2003 First Named Inventor Michael T. McKibben, et al. STATEMENT BY APPLICANT Art Unit 2171 Examiner Name (Use as many sheets as necessary)

2

Attomey Docket Number | LEADP102USA Sheet U. S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where **Publication Date** Examiner **Document Number** Relevant Passages or Relevant Figures Appear Applicant of Cited Document MM-DD-YYYY Initials\* Number-Kind Code<sup>2</sup> (# known) US- 2002/0001301 A1 01-03-2002 Sarkissian, et al. US- 6,418,461 B1 07-09-2002 Barnhouse, et al. US- 6,236,971 B1 05-22-2001 Stefik, et al. US- 2003/0069849 A1 04-10-2003 Stefik, et al. US- 6,154,465 11-28-2000 Pickett US-US-US-US-US-US-US-US-US-US-US-US-US-

		FOREIGN F	ATENT DOCL	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
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Examiner	Date	
Signature	Consid	dered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	Substitute for form 1449B/PTO				Complete if Known			
	SUPP	LEMENTAL			Application Number	10/732,744		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				SURE	Filing Date	December 10, 2003		
				CANT	First Named Inventor	Michael T. McKibben, et al.		
					Art Unit	2171		
					Examiner Name			
て	Sheet	2	of	2	Attorney Docket Number	LEADP102USA		

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T².				
		International Written Opinion, PCT/US03/39421, mailed November 15, 2004					
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.